

**TD WEALTH MANAGEMENT SERVICES INC.
2059 SPRINGDALE ROAD
CHERRY HILL, NJ 08003**

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2009

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	86.05%
Limit orders as percentage of total non-directed orders	9.52%
Other orders as percentage of total non-directed orders	4.43%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	29.16%
2. UBS Securities, L.L.C.	18.88%
3. Automated Trading Desk Financial Services, L.L.C.	14.87%
4. National Financial Services, L.L.C.	14.70%
5. Citadel Derivatives Group LLC	11.53%
6. Citigroup Global Markets, Inc.	7.18%
7. Goldman, Sachs & Co.	2.67%
8. BATS Trading, Inc.	0.33%
9. AlterNet Securities Inc.	0.25%
10. NYSE Arca	0.17%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.96%
Limit orders as percentage of total limit orders	34.21%
Other orders as percentage of total other orders	41.51%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.22%
Limit orders as percentage of total limit orders	14.04%
Other orders as percentage of total other orders	22.64%

3. Automated Trading Desk Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	13.40%
	Limit orders as percentage of total limit orders	19.30%
	Other orders as percentage of total other orders	33.96%
4. National Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	16.12%
	Limit orders as percentage of total limit orders	8.77%
	Other orders as percentage of total other orders	0.00%
5. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	11.55%
	Limit orders as percentage of total limit orders	15.79%
	Other orders as percentage of total other orders	1.89%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	8.16%
	Limit orders as percentage of total limit orders	1.75%
	Other orders as percentage of total other orders	0.00%
7. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.11%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
8. BATS Trading, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	3.51%
	Other orders as percentage of total other orders	0.00%
9. AlterNet Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.29%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
10. NYSE Arca		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	1.75%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	80.94%
Limit orders as percentage of total non-directed orders	17.74%
Other orders as percentage of total non-directed orders	1.32%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	28.68%
2. UBS Securities, L.L.C.	25.85%
3. Citadel Derivatives Group LLC	19.62%
4. National Financial Services, L.L.C.	10.00%
5. Citigroup Global Markets, Inc.	7.74%
6. Automated Trading Desk Financial Services, L.L.C.	6.42%
7. Goldman, Sachs & Co.	0.94%
8. NYSE Arca	0.38%
9. AlterNet Securities Inc.	0.19%
10. Direct Edge ECN L.L.C.	0.19%

Information Concerning Significant Venues :

1. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	29.84%
Limit orders as percentage of total limit orders	20.21%
Other orders as percentage of total other orders	71.43%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.78%
Limit orders as percentage of total limit orders	36.17%
Other orders as percentage of total other orders	14.29%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.65%
Limit orders as percentage of total limit orders	25.53%
Other orders as percentage of total other orders	0.00%

4. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.72%
Limit orders as percentage of total limit orders	7.45%
Other orders as percentage of total other orders	0.00%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.09%
Limit orders as percentage of total limit orders	2.13%
Other orders as percentage of total other orders	0.00%

6. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.53%
Limit orders as percentage of total limit orders	5.32%
Other orders as percentage of total other orders	14.29%

7. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.17%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.13%
Other orders as percentage of total other orders	0.00%

9. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.06%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	60.83%
Limit orders as percentage of total non-directed orders	17.50%
Other orders as percentage of total non-directed orders	21.67%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	36.67%
2. Citadel Derivatives Group LLC	21.67%
3. UBS Securities, L.L.C.	17.50%
4. National Financial Services, L.L.C.	15.00%
5. Automated Trading Desk Financial Services, L.L.C.	7.50%
6. NYSE Arca	0.83%
7. Citigroup Global Markets, Inc.	0.83%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	31.51%
Limit orders as percentage of total limit orders	28.57%
Other orders as percentage of total other orders	57.69%
2. Citadel Derivatives Group LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	21.92%
Limit orders as percentage of total limit orders	19.05%
Other orders as percentage of total other orders	23.08%
3. UBS Securities, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	17.81%
Limit orders as percentage of total limit orders	28.57%
Other orders as percentage of total other orders	7.69%
4. National Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	24.66%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%
5. Automated Trading Desk Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	2.74%
Limit orders as percentage of total limit orders	19.05%
Other orders as percentage of total other orders	11.54%

6. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.76%
Other orders as percentage of total other orders	0.00%

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.37%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	83.90%
Limit orders as percentage of total non-directed orders	16.10%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	27.97%
2. NYSE Amex Options	16.95%
3. The International Securities Exchange	14.41%
4. NYSE Arca Options	12.71%
5. Nasdaq Execution Services, L.L.C.	11.02%
6. The Boston Option Exchange	5.93%
7. NASDAQ OMX PHLX	5.93%
8. Other	5.08%

Information Concerning Significant Venues :

1. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.24%
Limit orders as percentage of total limit orders	47.37%
Other orders as percentage of total other orders	0.00%

2. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.19%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	0.00%

3. The International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.17%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.07%
Limit orders as percentage of total limit orders	42.11%
Other orders as percentage of total other orders	0.00%

5. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.13%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.06%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	0.00%

7. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.07%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.06%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%